

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**09/269624**INTERNATIONAL APPLICATION NO.  
PCT/GB98/03440INTERNATIONAL FILING DATE  
16 November 1998 (16.11.98)PRIORITY DATE CLAIMED  
4 September 1998 (04.09.98)

TITLE OF INVENTION DATA PROCESSING SYSTEM

APPLICANT(S) FOR DO/EO/US Christopher Angus; Douglas John Bruce Ottmann

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

Executed Declaration;  
A Power of Attorney executed by the Assignee;  
A Power of Attorney executed by the Inventors;  
An Assignment and Recordation Form Cover Sheet;  
Preliminary Amendment;  
Post card.

660000 "42969260

PCT/GB98/03440

SHSI1000(WO-US)

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Search Report has been prepared by the EPO or JPO ..... \$910.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
..... \$700.00No international preliminary examination fee paid to USPTO (37 CFR 1.482)  
but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$770.00Neither international preliminary examination fee (37 CFR 1.482) nor  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... 970.00International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$96.00**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS** PTO USE ONLY

\$ 970.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	82 - 20 =	62	X 18.00
Independent claims	10 - 3 =	7	X 78.00

\$ 1116.00

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$260.00

\$ 546.00

**⇒ CLAIMS COUNTED AFTER PRELIMINARY AMENDMENT**

\$

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement  
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$

**SUBTOTAL =**

\$ 2632.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =**

\$ 2632.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 40.00

**TOTAL FEES ENCLOSED =**

\$ 2672.00

Amount to be:  
refunded

\$

charged

\$

a. ☒ A check in the amount of \$ 2672.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 06-1325. A duplicate copy of this sheet is enclosed.**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

Warren S. Wolfeld  
Fliesler Dubb Meyer and Lovejoy LLP  
Four Embarcadero Center - Suite 400  
San Francisco, California 94111-4156  
United States of America

SIGNATURE:

Warren S. Wolfeld

NAME

31,454

30 MAR 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of )  
Inventors: Christopher Angus )  
Douglas John Bruce Ottmann )  
SC/Serial No.: Not Known )  
Filed: Not Yet Assigned )  
PCT Application No.: PCT/GB98/03440 )  
Filed: 16 November 1998 )  
For: DATA PROCESSING SYSTEM )  
San Francisco, CA 94111  
Dated: 30 March 1999

"Express Mail" mailing label number: TB687078645US

Date of Deposit: 30 March 1999

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR Section 1.10 on the date indicated above and is addressed to the Commissioner of Patents & Trademarks, Washington DC 20231.

  
Matthew A. Mahling

REQUEST FOR FILING NATIONAL STAGE (35 USC 371)

Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Attn.: US/RO

Sir:

Transmitted herewith are the following documents for entering the national phase in the United States under the PCT:

1. Transmittal letter (Form 1390);
2. International Application - No. of pages in Specification: 95; No. of Claims: 82  
No. of Sheets of Drawings:   ; Formal:   , Informal: 22.
3. Executed Declaration;
4. A Power of Attorney executed by the Assignee;
5. A Power of Attorney executed by the Inventors;
6. An Assignment and Recordation Form Cover Sheet;
7. Preliminary Amendment;
8. Check No. 43558 for \$2672.00; and
9. Post Card

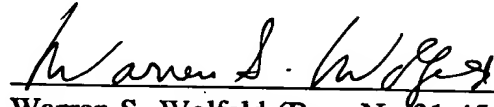
The Commissioner is authorized to charge any underpayment or credit any overpayment associated with this communication to Deposit Account No. 06-1325.

A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Dated: 30 March 1999

By:



Warren S. Wolfeld (Reg. No. 31,454)  
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